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JC971 U.S. PTO

09/846134



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit No.: NYA

Franklin Grosvenor, et al.

Examiner: NYA

Serial No.: NYA

Filed on: Herewith

For: METHOD AND APPARATUS PROVIDING A  
SUPPLY CHAIN MANAGEMENT SYSTEM  
USEFUL IN OUTSOURCED MANUFACTURING

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- ☒ 37 C.F.R. §1.97(b).
- ☐ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- ☐ A statement pursuant to 37 C.F.R. §1.97(e) or
- ☐ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e);
- (2) A petition requesting consideration of the Information Disclosure Statement; and
- (3) A check for \$\_\_\_\_\_ for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: 4-30-2001



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EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number : EL734778915US      Date of Deposit: April 30, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Applications, Commissioner for Patents, Washington, D.C. 20231.

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# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO.  
50325-0519SERIAL NO.,  
N t Y t AssignedAPPLICANT  
Franklin Grosvenor

FILING DATE

GROUP  
Not Yet AssignedJC971 U.S. PTO  
09/846134

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,682,728	11/04/97	DeBusk, et al.	53	445	6/12/95
	5,884,300	3/16/99	Richard Brockman	707	2	5/01/97
	5,943,484	8/24/99	Milne, et al.	395	500	9/26/97
	5,946,662	8/31/99	Ettl, et al.	705	8	3/29/96
	5,953,707	9/14/99	Huang et al.	705	10	2/21/97
	5,963,919	10/5/99	Brinkley, et al.	705	28	12/23/96
	5,970,465	10/19/99	Dietrich, et al.	705	7	6/4/97
	5,974,395	10/26/99	Bellini, et al.	705	9	8/21/96
	5,983,198	11/9/99	Mowery, et al.	705	22	4/23/96
	6,041,267	3/21/00	Dangat, et al.	700	107	9/26/97
	6,047,290	4/4/00	Kennedy, et al.	707	103	2/20/98
	6,049,742	4/11/00	Milne, et al.	700	99	9/26/97
	6,055,519	4/25/00	Kennedy, et al.	705	80	10/11/97
	6,085,493	7/11/00	DeBusk, et al.	53	445	7/10/97
	6,115,690	9/5/00	Charles Wong	705	7	12/22/97
	6,119,149	9/12/00	Ranjit N. Notani	709	205	6/5/98

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.